

Auditor of State

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Auditor of State	General Fund	Hourly audit fees	Local governments which hire the Auditor of State (AOS) to perform their audits and state agencies detailed in Iowa Code section 11.5B or related Code sections pertaining to specific agencies	\$30.50 per hour to \$93.50 per hour, depending on staff level	Local governments are billed once annually upon issuance of the audit report	145 Local governments	\$ 7,798,010	130	\$2,307,399	General Fund	FY 2012
					State agencies are billed quarterly for work performed each quarter	44 State agencies		50	\$5,072,232		
Auditor of State		Filing fees	Local governments filing an audit report with AOS	\$100 to \$850 per report, depending on the budget of the local government filing the audit report	Annually	1,034 Local governments	\$ 466,275	1,043	\$480,212	General Fund	7/2/2003
Auditor of State		Training fees	Training sponsor, usually the Iowa Society of Certified Public Accountants	Varies, depending on the effort required and anticipated attendance	Annually	3	\$ 45,887	4		General Fund	FY 2012
Auditor of State		Copy fees	Requester of copies	\$.10 to \$.25 per page depending on effort required to make the copies	As requested	4	\$ 257	2	\$133	General Fund	FY 2009
Total Revenue						1,230	\$ 8,310,429	1,229	\$ 7,859,976		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB		Banks and Holding Companies IDOB = Iowa Division of Banking									
IDOB	Commerce Revolving Fund	New state-chartered bank	Applicant	\$15,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Interim/phantom bank	Applicant	\$1,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Convert to state-chartered bank	Applicant	\$5,000	Upon application	2	\$ 3,000	3	\$ 15,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Bank office as part of conversion	Applicant	\$100/office	Upon application			26	\$ 2,600	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Conversion exam fee	Applicant	\$55/hour	Upon application	2	\$ 29,563	1	\$ 23,540	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Merger - two banks	Applicant	\$3,000	Upon application	7	\$ 19,500	8	\$ 24,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Bank office as part of merger	Applicant	\$100/office	Upon application	6	\$ 600	36	\$ 3,600	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Each additional bank	Applicant	\$1,500	Upon application	1	\$ 1,000	4	\$ 6,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Voluntary Dissolution	Applicant	\$5,500	Upon application	1	\$ 5,000			Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Relocate principal place of business - new town	Applicant	\$2,000	Upon application	1	\$ 2,500			Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Relocate principal place of business - new town: if Purchase and Assumption of Assets and Liabilities exists	Applicant	\$1,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Relocate principal place of business - same town	Applicant	\$1,500	Upon application	1	\$ 1,000	1	\$ 1,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Relocate principal place of business - same town: if Purchase and Assumption of Assets and Liabilities exists	Applicant	\$1,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Amend or Restate Articles of Incorporation	Applicant	\$500	Upon application			7	\$ 3,500	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Establish a bank office - new town	Applicant	\$2,000	Upon application	11	\$ 1,000	9	\$ 17,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Establish a bank office - new town: if Purchase and Assumption of Assets and Liabilities exists	Applicant	\$1,000	Upon application	1	\$ 1,000	2	\$ 6,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Establish a bank office - same town	Applicant	\$1,500	Upon application	1	\$ 1,000	3	\$ 4,500	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Establish a bank office - same town: if Purchase and Assumption of Assets and Liabilities exists	Applicant	\$1,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Relocate bank office	Applicant	\$1,000	Upon application	4	\$ 3,000	5	\$ 3,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Purchase and Assumption of Assets and Liabilities (no office)	Applicant	\$1,500	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Mobile Bank office/Courier Service - new town	Applicant	\$2,000	Upon application					Commerce Revolving Fund	1/1/2010

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Commerce Revolving Fund	Mobile Bank office/Courier Service - same town	Applicant	\$1,500	Upon application	1	\$ 1,000			Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Reverse Stock split	Applicant	\$2,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Change in Control	Applicant	\$1,500	Upon application	5	\$ 6,500	4	\$ 5,500	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Change in Ownership	Applicant	\$1,000	Upon application	1	\$ 500	3	\$ 3,000	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Failure resolution - de novo	Applicant	\$5,500	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Failure resolution - existing group	Applicant	\$3,000	Upon application					Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Merge Holding Company into state-chartered bank	Applicant	\$1,500	Upon application	1	\$ 1,000			Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Review/file corporate documents	Applicant	\$500	Upon application	10	\$ 3,600			Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Review/file conversion/merger documents	Applicant	\$500	Upon application	2	\$ 1,000	1	\$ 500	Commerce Revolving Fund	1/1/2010
IDOB	Commerce Revolving Fund	Copy report of condition and income	person requesting	\$10	Upon request					Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Copy Uniform Bank Performance Report	person requesting	\$20	Upon request					Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Photocopies	person requesting	\$0.25/page	Upon request	1	\$ 10			Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Fee for completing request that takes > 1/2 hour	person requesting	\$20/hour	Upon request					Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Postage	person requesting	Actual	Upon request					Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Fax charge > 10 page	person requesting	\$1/page	Upon request			1	\$ 100	Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Certificate of Good Standing	person requesting	\$25	Upon request	6	\$ 150	2	\$ 75	Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Proof of Official Record (certified copy)	person requesting	\$25	Upon request	1	\$ 225			Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Research fee	person requesting	\$50/hour	Upon request					Commerce Revolving Fund	2001
IDOB	Commerce Revolving Fund	Administrative fee	state-chartered banks		Quarterly	324	\$ 7,646,035	315	\$7,802,342	Commerce Revolving Fund	2008
	Commerce Revolving Fund	Bank Examination Fee	state-chartered banks		On Occurrence			1	\$ 3,190	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Bank fine	state-chartered banks			1	\$ 50,000	2	\$ 85,000	Commerce Revolving Fund	1/1/2010
Debt Management/Settlement											
IDOB	Commerce Revolving Fund	Application/Investigation Fee	Applicant for License	\$100	Once	25	\$ 2,500	6	\$ 600	Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Initial License Fee	Licensee	\$250	Once	13	\$ 3,250	6	\$ 1,500	Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$250	Annual on 6/1	48	\$ 12,000	6	\$ 1,500	Commerce Revolving Fund	2009

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence					Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100	On Occurrence			2	\$ 50	Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence	1	\$ 25	2	\$ 50	Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Address Change Fee	Licensee	\$25	On Occurrence					Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence	4	\$ 3,950	18	\$ 13,313	Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day	On Occurrence					Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence					Commerce Revolving Fund	2009
IDOB	Commerce Revolving Fund	Delayed Deposit Services								Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Application/Investigation Fee	Applicant for License	\$100	Once	3	\$ 300	9	\$ 1,600	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Initial License Fee	Licensee	\$250	Once	3	\$ 750	10	\$ 4,615	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$250	Annual on 4/1	255	\$ 63,750	8	\$ 2,250	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence					Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100-Costs As Determined by the Banking Superintendent	On Occurrence	1	\$ 100			Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence	8	\$ 200	2	\$ 50	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Address Change Fee	Licensee	\$25	On Occurrence					Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least annually	183	\$ 41,400	262	\$ 59,625	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence					Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence			1	\$ 100	Commerce Revolving Fund	2008
Finance Companies											
IDOB	Commerce Revolving Fund	Application/Investigation Fee	Applicant for License	\$100	Once	13	\$ 1,300	21	\$ 7,300	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Initial License Fee	Licensee	\$250	Once	13	\$ 3,250	17	\$ 8,407	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$250	Annual on 12/1	203	\$ 50,750	128	\$ 49,680	Commerce Revolving Fund	2006

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence					Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100	On Occurrence	2	\$ 200	8	\$ 700	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence			9	\$ 225	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Address Change Fee	Licensee	\$25	On Occurrence	3	\$ 75	11	\$ 350	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$17/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least annually	103	\$ 8,211	88	\$ 15,573	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Examination fee -receivables assessment	Licensee	\$0.22/1000 receivable - Costs As Determined by the Banking Superintendent	On Occurrence, but at least annually	103	\$ 218,125	75	\$ 209,642	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence					Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence	1	\$ 24,363			Commerce Revolving Fund	2008
Mortgage Bankers											
IDOB	Commerce Revolving Fund	Initial License Fee	Applicant	\$500	Once			51	\$ 4,120	Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	No License Needed Letter Fee	Applicant	\$100	On Occurrence	2	\$ 200	7	\$ 789	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Registration fee	Registrant	\$100	Once			117	\$ 19,440	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$400	Annual on 12/1	203	\$ 81,200	185	\$ 74,000	Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence					Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	Registration Renewal Fee	Registrant	\$100	Annual on 12/1	3	\$ 300			Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100	On Occurrence	6	\$ 600	12	\$ 1,200	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence	1	\$ 25	30	\$ 9,725	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Branch Location Fees	Licensee							Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Branch Location Renewal fees	Licensee							Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Sponsor Fees	Licensee							Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Change of Sponsor Fees	Licensee							Commerce Revolving Fund	2008

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least every other year	199	\$ 117,713	69	\$ 79,014	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence					Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence	9	\$ 45,075	11	\$ 47,665	Commerce Revolving Fund	2010
Mortgage Brokers											
IDOB	Commerce Revolving Fund	Initial License Fee	Applicant	\$500	Once			17	\$ 7,200	Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	No License Needed Letter Fee	Applicant	\$100	On Occurrence	2	\$ 200	2	\$ 25	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$200	Annual on 12/1	54	\$ 10,800	36	\$ 7,200	Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence					Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100	On Occurrence	5	\$ 500	2	\$ 200	Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence					Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least every other year	w/m-bankers	w/m-bankers			Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence					Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence	26	\$ 28,052			Commerce Revolving Fund	2010
IDOB	Commerce Revolving Fund	Closing Agent								Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Initial License Fee	Applicant	\$500	Once	0				Commerce Revolving Fund	2011
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$200	Annual on 12/1	0				Commerce Revolving Fund	2011
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$10/day	On Occurrence	0				Commerce Revolving Fund	2011
IDOB	Commerce Revolving Fund	Change of Control Fee	Licensee	\$100	On Occurrence	0				Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	\$25	On Occurrence	0				Commerce Revolving Fund	2006
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least every other year	0		19	\$ 13,025	Commerce Revolving Fund	2008
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence	0				Commerce Revolving Fund	2008

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence			5	\$ 9,626	Commerce Revolving Fund	2010
Mortgage Loan Originators											
IDOB	Commerce Revolving Fund	Application/Investigation Fee	Applicant	\$50	Once	289	\$ 14,450			Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Closing Agent License	Licensee	\$50	Annual on 12/1			25	\$ 12,020		
IDOB	Commerce Revolving Fund	Closing Company License	Licensee	\$100	Once			1	\$ 100	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Fingerprint Fees	Licensee		Once			8	\$ 439		
IDOB	Commerce Revolving Fund	License Renewal Fee	Licensee	\$50	Annual on 12/1	1,193	\$ 59,650	1509	\$ 140,200	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Late Fee for renewal	Licensee	\$5 per day not to exceed \$100	On Occurrence			1	\$ 150	Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	License Reinstatement fee	Licensee	\$50	On Occurrence					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Name Change Fee	Licensee	National Mortgage Licensing System sets fee \$0 to IDOB	On Occurrence					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Address Change Fee	Licensee	National Mortgage Licensing System sets fee \$0 to IDOB	On Occurrence					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence, but at least every other year					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Examination fee - late fee	Licensee	5% of xm fee/day after 30 days	On Occurrence					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Late Fee for failure to Respond	Licensee	\$10/day after 30 days	On Occurrence					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Annual Report Late Fee	Licensee	\$10/day not to exceed \$300	On Occurrence after 3/31					Commerce Revolving Fund	
IDOB	Commerce Revolving Fund	Administrative Fine	Licensee	Varies	On Occurrence	8	\$ 4,985	3	\$ 200	Commerce Revolving Fund	
IDOB		Education Providers									
IDOB	Commerce Revolving Fund	Application/Investigation Fee	Providers	\$100	On Occurrence	7	\$ 700			Commerce Revolving Fund	
IDOB		Money Services - Currency Exchange									
IDOB	Money Services Licensing Fund	Application/Investigation Fee	Applicant for License	\$1,000	Once	0				Money Services Licensing Fund	2003

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	Money Services Licensing Fund	Initial License Fee	Licensee	\$500 + \$100/location not to exceed \$2,000 total	Once	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Additional New Authorized Location Fee	Licensee	\$10/authorized delegate	biennial but updated quarterly	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	License Renewal Fee	Licensee	\$500 + \$100/location not to exceed \$2,000 total	biennial fee w/renewal 9/1 on odd years	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Late Fee for renewal	Licensee	\$100/day	On Occurrence	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Change of Control Fee	Licensee	\$1,000	On Occurrence	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence	0				Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Administrative Fines	Licensee	\$1000/day	On Occurrence					Money Services Licensing Fund	2003
IDOB		Money Services - Money Transmission									
IDOB	Money Services Licensing Fund	Application/Investigation Fee	Applicant for License	\$1,000	Once	9	\$ 9,000	11	\$ 10,100	Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Initial License Fee	Licensee	\$500 + \$10/authorized delegate not to exceed \$5,000 total (pro-rated w/ Sept 30 expiration)	Once	21	\$ 30,959	53	\$ 23,277	Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Additional New Authorized Delegate Fee	Licensee	\$10/authorized delegate	Annual but updated quarterly		w/lic fee			Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	License Renewal Fee	Licensee	\$500 + \$10/authorized delegate not to exceed \$5,000 total	Annual on 9/1	47	\$ 57,160	50	\$ 58,900	Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Late Fee for renewal	Licensee	\$100/day	On Occurrence					Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Change of Control Fee	Licensee	\$1,000	On Occurrence	3	\$ 3,000	2	\$ 2,000	Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Examination fee - hourly charge	Licensee	\$50/hr -Costs As Determined by the Banking Superintendent	On Occurrence					Money Services Licensing Fund	2003
IDOB	Money Services Licensing Fund	Administrative Fines	Licensee	\$1,000/day	On Occurrence					Money Services Licensing Fund	2003
IDOB - Professional Licensing, Department 217											
IDOB		Accountancy Board					\$ 376,633		\$ 358,870	General Fund	

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	General Fund	Initial CPA Certificate	Licensee	\$100	Once	Info not available		Info not available		General Fund	
IDOB	General Fund	Wall Certificate	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Original Attest	Licensee	\$100	Once	Info not available		Info not available		General Fund	
IDOB	General Fund	Annual Renewal - Active	Licensee	\$100	1 year	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Late Renewal Fee - Active	Licensee	\$25	2 years	Info not available		Info not available		General Fund	
IDOB	General Fund	Annual Renewal - Inactive	Licensee	\$50	1 year	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Late Renwal Fee - Inactive	Licensee	\$10	2 years	Info not available		Info not available		General Fund	
IDOB	General Fund	Initial Firm Permit	Licensee	\$100	Once	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Annual Firm Renewal	Licensee	\$100	1 year	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Reinstatement Individual - Active	Licensee	\$100	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reinstatement Individual - Inactive	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reinstatement Firm	Licensee	\$50	As requested	Info not available		Info not available		General Fund	2010
IDOB	General Fund	Reinstatement Individual - Active Penalty	Licensee	\$100	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reinstatement Individual - Inactive - Penalty	Licensee	\$100	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reinstatement Firm - Penalty	Licensee	\$100	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reinstatement per Month Penalty	Licensee	\$25	As requested	Info not available		Info not available		General Fund	2009
IDOB	General Fund	Change of Status	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Interstate Transfer Forms/Letters of Good Standing	Licensee	\$25	As requested	Info not available		Info not available		General Fund	2010
IDOB		Architect Board					\$ 198,375		\$ 197,493		
IDOB	General Fund	Reciprocal application and registration fee	Licensee	\$200	As requested	Info not available				General Fund	
IDOB	General Fund	Biennial renewal fee	Licensee	\$200	2 years	Info not available				General Fund	
IDOB	General Fund	Biennial renewal fee (inactive)	Licensee	\$100	2 years	Info not available				General Fund	2005
IDOB	General Fund	Biennial renewal fee (retired)	Licensee	\$50	2 years	Info not available				General Fund	
IDOB	General Fund	Biennial renewal for firms	Licensee	\$100	2 years	Info not available				General Fund	
IDOB	General Fund	New Firms	Licensee	\$50	As requested	Info not available				General Fund	

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	General Fund	Reinstatement of lapsed individual registration (per month)	Licensee	\$25	As requested	Info not available				General Fund	
IDOB	General Fund	Duplicate wall certificate fee	Licensee	\$50	As requested	Info not available				General Fund	
IDOB		Engineering & Land Surveying Bd.					\$ 489,159		\$ 534,524		
IDOB	General Fund	Active License Renewal	Licensee	\$100	2 years	Info not available				General Fund	2003
IDOB	General Fund	Inactive license renewal	Licensee	\$40	2 years	Info not available				General Fund	2003
IDOB	General Fund	Reinstatement of lapsed license	Licensee	\$100	As requested	Info not available				General Fund	
IDOB	General Fund	Reinstatement of inactive to active license	Licensee	\$60	As requested	Info not available				General Fund	2003
IDOB	General Fund	New license	Licensee	\$100	As requested	Info not available				General Fund	2003
IDOB	General Fund	Principles & Practice of Eng Exam App Fee	Applicant	\$100	As requested	Info not available				General Fund	2003
IDOB	General Fund	Principles & Practice of Land Surveying	Applicant	\$100	As requested	Info not available				General Fund	2003
IDOB	General Fund	Iowa State Specific Land Surveying Exam	Applicant	\$30	As requested	Info not available				General Fund	2003
IDOB	General Fund	Application for licensure by comity	Comity Applicant	\$150	As requested	Info not available				General Fund	2003
IDOB	General Fund	Certificates	Licensee	\$15	As requested	Info not available				General Fund	2003
IDOB	General Fund	Additional or duplicate certificate	Licensee	\$25	As requested	Info not available				General Fund	2003
IDOB	General Fund	Intern certificate	Intern	\$0	As requested	Info not available				General Fund	
IDOB	General Fund	Check returned for insufficient funds		\$15	As needed	Info not available				General Fund	2003
IDOB	General Fund	Record verification for lapsed licensees		\$15	As requested	Info not available				General Fund	2003
IDOB	General Fund	Late renewal fee		\$25	As requested	Info not available				General Fund	2003
IDOB		Landscape Architect Board					\$ 49,062		\$ 44,310		
IDOB	General Fund	Examination Fee	Applicant	Varies	As requested	Info not available				General Fund	
IDOB	General Fund	Initial exam filing fee	Applicant	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Proctoring fee	Applicant	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Examination exemption fee	Applicant	\$300	As requested	Info not available				General Fund	
IDOB	General Fund	Wall certificate fee	Licensee	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Wall certificate replacement fee	Licensee	\$25	As requested	Info not available				General Fund	

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	General Fund	Certificate of registration fee	Licensee	\$15/month	As requested	Info not available				General Fund	
IDOB	General Fund	Biennial registration (active)	Licensee	\$350	2 years	Info not available				General Fund	
IDOB	General Fund	Biennial registration (inactive)	Licensee	\$100	2 years	Info not available				General Fund	2008
IDOB	General Fund	Reinstatement of lapsed registration	Licensee	\$25/month	As requested	Info not available				General Fund	2008
IDOB	General Fund	Reciprocal license	Licensee	\$300	As requested	Info not available				General Fund	
IDOB		Real Estate Commission					\$ 611,264		\$ 590,968		
IDOB	General Fund	Broker license	Licensee	\$170	As requested	Info not available				General Fund	2002
IDOB	General Fund	Additional officer or partner license	Licensee	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Firm license	Licensee	\$170	As requested	Info not available				General Fund	
IDOB	General Fund	Branch office license	Licensee	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Trade name license	Licensee	\$50	As requested	Info not available				General Fund	
IDOB	General Fund	Salesperson license	Licensee	\$125	As requested	Info not available				General Fund	2002
IDOB	General Fund	Broker license renewal	Licensee	\$195	3 years	Info not available				General Fund	2002
IDOB	General Fund	Salesperson license renewal	Licensee	\$150	3 years	Info not available				General Fund	2002
IDOB	General Fund	Certification of license	Licensee	\$25	As requested	Info not available				General Fund	2002
IDOB		Real Estate Appraiser Board					\$ 217,958		\$ 207,853		
IDOB	General Fund	Biennial registration fee for active status:									
	General Fund	Certified general real property appraiser	Licensee	\$390	2 years	Info not available		Info not available		General Fund	2011
	General Fund	Certified residential real prop appraiser	Licensee	\$390	2 years	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Renewal of Associate real prop appraiser	Licensee	\$250	2 years	Info not available		Info not available		General Fund	2007
	General Fund	Biennial registration for inactive status:									
	General Fund	Certified general real property appraiser	Licensee	\$130	2 years	Info not available		Info not available		General Fund	2011
	General Fund	Certified residential real prop appraiser	Licensee	\$130	2 years	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Renewal of inactive associate appraiser	Licensee	\$50	2 years	Info not available		Info not available		General Fund	2007

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Division of Banking

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
IDOB	General Fund	Reinstatement of lapsed certified appraiser	Licensee	\$150	As requested	Info not available		Info not available		General Fund	2007
IDOB	General Fund	Reinstatement of lapsed associate appraiser	Licensee	\$150	As requested	Info not available		Info not available		General Fund	2007
IDOB	General Fund	Reactivating certified appraiser inactive to active	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reactivating associate appraiser inactive to active	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Exam application	Licensee	\$100	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Temporary practice permit	Licensee	\$150	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Reciprocal application fee	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
	General Fund	Reciprocal registration fee	Licensee	\$390	2 years	Info not available		Info not available		General Fund	2011
IDOB	General Fund	Certificates reissued	Licensee	\$50	As requested	Info not available		Info not available		General Fund	
IDOB	General Fund	Certified Residential work product review	Licensee	\$300	As necessary	Info not available		Info not available		General Fund	2007
IDOB	General Fund	Certified General work product review	Licensee	\$650	As necessary	Info not available		Info not available		General Fund	2007
IDOB	General Fund	Each addt'l residential reports as req by board	Licensee	\$150	As necessary	Info not available		Info not available		General Fund	
IDOB	General Fund	Each addt'l non-residential reports as req by board	Licensee	\$250	As necessary	Info not available		Info not available		General Fund	
IDOB	General Fund	Each voluntary submission of residential reports for review	Licensee	\$150	As necessary	Info not available		Info not available		General Fund	
IDOB	General Fund	Each voluntary submission of non-residential reports for review	Licensee	\$250	As necessary	Info not available		Info not available		General Fund	
IDOB		Interior Design Board					\$ 12,193		\$ 9,171		
IDOB	General Fund	Application	Licensee	\$350	As requested	Info not available		Info not available		General Fund	2002
IDOB	General Fund	Renewal Fee	Licensee	\$350	2 years	Info not available		Info not available		General Fund	2002
IDOB	General Fund	Reinstatement Fee	Licensee	\$100	As requested	Info not available		Info not available		General Fund	2002
Total Revenue						3463	\$ 10,631,895	3349	\$ 10,850,381		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Credit Union Division

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Credit Union Division	Commerce Revolving Fund	Annual operating fee	credit unions	\$864,066	bi-annual	136	\$ 1,558,159	131	\$ 1,590,871	Commerce Revolving Fund	
	Commerce Revolving Fund	Software Sharing Agreement with state of Texas	Texas Credit Union Dept.	\$1,750	annual	1	\$ 1,750		\$ 1,750	Commerce Revolving Fund	
	Commerce Revolving Fund	Lender Credit Card Act		\$100	annual	2	\$ 100		\$ 100	Commerce Revolving Fund	
	Commerce Revolving Fund	Foreign Branch Fee	foreign credit unions	\$500	annual	2	\$ 500		\$ 5,750	Commerce Revolving Fund	
	Commerce Revolving Fund	Regulatory Penalty	credit unions		annual		\$ 21,000		\$ 1,598,471	Commerce Revolving Fund	
Total Revenue						141	\$ 1,581,509	131	\$ 1,606,071		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Insurance Division
Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Insurance Division		Retaliatory Fees Others	Insurance Company	Varies - see comments for fees	Yearly		\$ 1,158,202	1,186	\$ 1,297,535	Commerce Revolving Fund	1981
Insurance Division	\$100 per company	Annual Renewal Fees	Insurance Company	Varies - see comments for fees	Yearly	1,993	\$ 193,368	1,985	\$ 196,335	Commerce Revolving Fund	1988
Insurance Division	companies charged when their report is	Examination Report	Insurance Company	\$50	As issued	35	\$ 1,750	25	\$ 1,250	Commerce Revolving Fund	2003
Insurance Division		Amended Articles	Insurance Company	Varies - see comments for fees	As filed	65	\$ 3,251	66	\$ 3,350	Commerce Revolving Fund	1988
Insurance Division		Amended Certificate of Authority	Insurance Company	various - fee is retaliatory	As requested	480	\$ 12,019	34	\$ 10,240	Commerce Revolving Fund	1988
Insurance Division		Certificates with Seal	Insurance Company	\$10	As requested	3,799	\$ 37,990	3,925	\$ 39,255	Commerce Revolving Fund	1988
Insurance Division	admission fees - \$800 + retaliatory	New Company Admission Fees	Insurance Company	See Comments	As requested	28	\$ 50,631	31	\$ 42,890	Commerce Revolving Fund	1988
Insurance Division	annual renewal fee	Workers Comp New & Renewal	Self Insured Workers Compensation Company	\$200	Annual	70	\$ 13,875	66	\$ 13,300	Commerce Revolving Fund	1985
Insurance Division		Miscellaneous	Insurance Company	Varies - see comments for fees	As requested		\$ 11,775		\$ 2,270	Commerce Revolving Fund	
Insurance Division	examamination billings	Company Billings	Insurance Company	Varies - see comments for fees	Monthly	55	\$ 1,149,266	42	\$ 1,812,310	Commerce Revolving Fund	Annually
Insurance Division		Exam Licensing App	Insurance Company	See Comments	As Requested	28	\$ 27,500	33	\$ 39,900	Commerce Revolving Fund	2003
Insurance Division	Securities and Regulated Industries Bureau (SRIB)	Securities Registration filing	Securities registrants	See Comments	Annual	23,631	\$ 8,108,104	30,500	\$ 8,771,219	Commerce Revolving Fund	1991
Insurance Division		Securities Broker-Dealers licenses	Broker-dealers	\$200	Annual	1,541	\$ 328,400	1,541	\$ 328,600	Commerce Revolving Fund	1982
Insurance Division		Securities agent licensing	Securities agents	\$40	Annual	91,323	\$ 3,289,036	100,566	\$ 3,688,166	Commerce Revolving Fund & Investor Ed Fund	
Insurance Division		Securities Investment Advisers licensing	Investment Advisers	\$100	Annual	128	\$ 13,700	132	\$ 14,500	Commerce Revolving Fund	1998
Insurance Division		Investment Adviser Representative licensing	Investment Adviser Representatives	\$30	Annual	4,414	\$ 154,560	4,461	\$ 153,990	Commerce Revolving Fund	1998
Insurance Division		Federal Covered IA notice filing	Federal Covered Ias	\$100	Annual	903	\$ 93,800	913	\$ 92,700	Commerce Revolving Fund	1998
Insurance Division		Exempt issuers using Reg. D	Private placement issuers	\$100	Per filing	513	\$ 59,550	503	\$ 54,100	Commerce Revolving Fund	1997
Insurance Division	SRIB - Prearranged Funeral Plans	Application for Preneed Seller License	Owner of business entity	\$100	once	9	\$ 905	4	\$ 400	Commerce Revolving Fund	2009
Insurance Division		Criminal History Check for owners of Preneed Seller License Applicants	Owner of business entity	\$15	once	8	\$ 120	4	\$ 60	Commerce Revolving Fund	2009
Insurance Division		Renewal Application for Preneed Seller	Owner of business entity	\$20	every 4 years	0	\$ 0	1	\$ 40	Commerce Revolving Fund	2008

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Insurance Division

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Insurance Division		Application for Preneed Sales Agent License	Applicant or employer	\$20	once	65	\$ 1,300	59	\$ 1,180	Commerce Revolving Fund	2009
Insurance Division		Criminal History Check for SA License Applicants	Applicant or employer	\$15	once	65	\$ 975	59	\$ 885	Commerce Revolving Fund	2009
Insurance Division		Renewal Application for Preneed Sales Agent	Applicant or employer	\$20	every 4 years	0	\$ 0	211	\$ 4,220	Commerce Revolving Fund	2008
Insurance Division		Annual Report by Preneed Seller - new contract (each) filing fees	Business entity	\$8	annually	263	\$ 83,765	280	\$ 87,378	Commerce Revolving Fund	2009
Insurance Division		Annual Report by Preneed Seller - new contract (each) filing fees	Business entity	\$12	annually	263	\$ 125,603	280	\$ 131,067	Commerce Revolving Fund	2009
Insurance Division		Annual Report by Preneed Seller - SA (each) filing fees	Business entity	\$5	annually	263	\$ 3,505	280	\$ 3,890	Commerce Revolving Fund	2009
Insurance Division	SRIB Regulated Industries Unit	Motor Vehicle Service Contract (MVSC) Companies Annual filing fee	MVSC Companies	\$100- \$350	annually		\$ 116,062		\$ 2,208	Commerce Revolving Fund	2001
Insurance Division		MVSC Providers Annual filing fee	MVSC Providers	\$100 - \$300	annually				\$ 0	Commerce Revolving Fund	2001
Insurance Division		Residential Service Contract (RSC) Companies License Fee	Residential Service Contract (RSC) Companies	\$250	annually		\$ 204,641		\$ 0	Commerce Revolving Fund	1992
Insurance Division		RSC Contract Sales Fee	RSC Companies	3% of contract sales not to exceed \$50,000	annually				\$ 0	Commerce Revolving Fund	2000
Insurance Division		Retirement Facility Certification Fee	Retirement Facilities	\$100	annually		\$ 56,010		\$ 2,208	Commerce Revolving Fund	2004
Insurance Division		Cemetery Annual Reprt Fee	Cemeteries	\$5 per certificate of interment rights	annually		\$ 8,200		\$ 16,765	Commerce Revolving Fund	2005
Insurance Division	Market Reg	External Review Fees - Fee to request outside review of medical claim denial	Policyholder	\$25	Per appeal	34	\$ 475	41	\$ 1,025	Commerce Revolving Fund	Unknown
Insurance Division		Various insurance agent and agency licenses	Licensee	\$50; \$100	See comments	94,824	\$ 2,164,625	83,272	\$ 2,282,580	Commerce Revolving Fund	
Total Revenue						224,800	\$ 17,472,963	230,500	\$ 19,095,816		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Department of Administrative Services

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Administrative Services	IT Operations Revolving Fund	Service # 0767 - Directory Services	Participating State of Iowa government entities including departments, boards, and commissions.	\$0.56 per Employee	Monthly	124	\$ 140,973	128	\$ 156,238	IT Operations Revolving Fund	FY 2011
Administrative Services	Facility and Support Revolving Fund	Service # 3820 - Association Fee Ankeny Lab	State departments and educational institutions using the Ankeny Lab.	\$0.44166 per Sq Ft	Monthly	4	\$ 851,448	4	\$ 879,198	Facility and Support Revolving Fund	FY 2011
Administrative Services	Mail Services Revolving Fund	Service # 3835 - Mail Fee Utility	All State of Iowa government entities and branches including departments, boards, and commissions that use the centralized mail facilities.	Varies	Monthly	80	\$ 662,978	102	\$ 687,977	Mail Services Revolving Fund	
Administrative Services	Vehicle Dispatcher Revolving Fund	Service # 3890 - GSE Fleet Management Fee	All State of Iowa government entities and branches including departments, boards, and commissions that use state vehicles, excluding the DOT.	\$21.37 per month per active vehicle	Monthly	81	\$ 666,353	74	\$ 739,204	Vehicle Dispatcher Revolving Fund	FY 2010
Administrative Services	Vehicle Depreciation Revolving Fund	Service # 3891 - Vehicle Replacement and Depreciation	In FY 2010 this was all State of Iowa government entities and branches that made use of state vehicles, excluding the DOT.	Varies	Monthly	24	\$ 1,239,733	26	\$ 1,359,610	Vehicle Depreciation Revolving Fund	
Administrative Services	Self Insurance/Risk Management	Service # 3892 - Vehicle Self Insurance	All State of Iowa government entities and branches that make use of state vehicles, excluding the DOT.	\$26.40 per month per active vehicle	Monthly	80	\$ 844,104	76	\$ 925,048	Self Insurance/Risk Management	FY 2011
Administrative Services	IT Operations Revolving Fund	Service # 3894 - Mail automation - Metered Mail	All State of Iowa government entities and branches including departments, boards, and commissions that use the centralized mail facilities.	Varies	Usage Based	20	\$ 84,028	20	\$ 103,416	IT Operations Revolving Fund	FY 2010
Administrative Services	Facility and Support Revolving Fund	Service # 3897 - Capitol Complex Office Space, Association Fee	All State of Iowa government and non-government entities that have office space in the Capitol Complex.	\$0.27416 per Sq Ft	Monthly	46	\$ 3,929,440	61	\$ 4,352,453	Facility and Support Revolving Fund	FY 2011
Administrative Services	Facility and Support Revolving Fund	Service # 3900 - Lease Management, outside Seat of Government	Those State of Iowa entities that leased office space outside of Polk County and all counties contiguous to Polk.	\$61.90 per Hour	Annual	14	\$ 6,995	9	\$ 10,070	Facility and Support Revolving Fund	
Administrative Services	Facility and Support Revolving Fund	Service # 3901 - Lease Management, Seat of Government	Those State of Iowa entities that leased office space outside the Capitol Complex but within Polk County or counties contiguous to Polk.	\$0.0098 per Sq Ft	Monthly	28	\$ 58,636	25	\$ 54,683	Facility and Support Revolving Fund	FY 2011
Administrative Services	Centralized Purchasing - Administration	Service # 3903 - Employee Blanket Bond Coverage Fee	Most State of Iowa government entities, elected officials, and branches including departments, boards, commissions, and Regents institutions.	\$1.58 per FTE	Annual	92	\$ 31,320	132	\$ 2,754	Centralized Purchasing - Administration	FY 2010
Administrative Services	Centralized Purchasing - Administration	Service # 3905 - Purchasing Administration	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	Varies	Usage Based	90	\$ 916,625	106	\$ 811,146	Centralized Purchasing - Administration	
Administrative Services	Employee Assistance Program	Service # 3950 - Employee Assistance Plan - SAP	In FY 2010, payors were Public Defense and the Department of Transportation.	\$500 per Each	Annual	2	\$ 1,000	0	\$ 0	Employee Assistance Program	
Administrative Services	Employee Assistance Program	Service # 3951 - Employee Assistance Plan Training and Outreach	In FY 2010, payors were Corrections, Education, the Judicial Branch, and the DOT.	\$80 per Hour	Usage Based	4	\$ 1,640	1	\$ 80	Employee Assistance Program	

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Department of Administrative Services

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Administrative Services	Employee Assistance Program	Service # 3952 - Employee Assistance Plan Hourly Option	Participating State of Iowa government entities including departments, boards, and commissions.	\$65 per Hour	Usage Based	57	\$ 75,148	58	\$ 74,965	Employee Assistance Program	FY 2009
Administrative Services	Employee Assistance Program	Service # 3953 - Employee Assistance Plan - Group Counsel	In FY 2010, payors were Iowa Workforce Development and Corrections, 5th Judicial District.	\$90 per Hour	Usage Based	2	\$ 293	3	\$ 1,418	Employee Assistance Program	
Administrative Services	Employee Assistance Program	Service # 3954 - Employee Assistance Plan - Travel	In FY 2010, payors were Corrections, the Judicial Branch, and the DOT.	\$50 per Hour	Usage Based	3	\$ 325	3	\$ 892	Employee Assistance Program	
Administrative Services	Human Resources Revolving Fund	Service \$ 3955 - Flexible Spending Program - Dependent Care Only	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$4.26 per Employee	Monthly	73	\$ 16,141	78	\$ 16,060	Human Resources Revolving Fund	
Administrative Services	Human Resources Revolving Fund	Service # 3956 - Flexible Spending Program - Both	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$4.26 per Employee	Monthly	169	\$ 24,120	92	\$ 24,653	Human Resources Revolving Fund	
Administrative Services	Human Resources Revolving Fund	Service \$ 3957 - Flexible Spending Program - Health Care Only	Participating State of Iowa government entities, elected officials, and branches including departments, boards, commissions, and some Regent's institutions.	\$4.26 per Employee	Monthly	128	\$ 177,719	129	\$ 169,463	Human Resources Revolving Fund	
Administrative Services	Health Insurance Administration Fund	Service # 3958 - Health Insurance Surcharge	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$2 per Employee	Monthly	139	\$ 440,546	140	\$ 412,418	Health Insurance Administration Fund	
Administrative Services	Worker's Compensation Insurance Fund	Service # 3959 - Worker's Compensation	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	Varies	Monthly	130	\$ 24,770,832	132	\$ 25,677,192	Worker's Compensation Insurance Fund	
Administrative Services	Human Resources Revolving Fund	Service # 3960 - Unemployment Claims Management	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$1.25 per Employee	Annual	75	\$ 29,407	113	\$ 27,335	Human Resources Revolving Fund	
Administrative Services	Human Resources Revolving Fund	Service # 3961 - Benefits and Pre-tax Utility Package	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$2.45 per Employee	Monthly	139	\$ 627,393	140	\$ 587,372	Human Resources Revolving Fund	FY 2010
Administrative Services	Human Resources Revolving Fund	Service # 3963 - Employment Services - Merit	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$1.795 per Employee	Monthly	111	\$ 379,154	111	\$ 265,707	Human Resources Revolving Fund	FY 2011
Administrative Services	Human Resources Revolving Fund	Service # 3964 - Employment Services Utility Package	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$4.94 per Employee	Monthly	123	\$ 1,177,292	124	\$ 1,141,841	Human Resources Revolving Fund	FY 2010
Administrative Services	Human Resources Revolving Fund	Service # 3965 - Labor Relations	Most State of Iowa government entities, elected officials, and branches including departments, boards, commissions, and Regents institutions.	\$1.67 per Employee	Monthly	134	\$ 501,742	133	\$ 531,508	Human Resources Revolving Fund	FY 2010
Administrative Services	Human Resources Revolving Fund	Service # 3970 - Personnel Officers	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$6.19 per Employee	Monthly	131	\$ 1,338,403	132	\$ 1,310,437	Human Resources Revolving Fund	FY 2011
Administrative Services	Human Resources Revolving Fund	Service # 3971 - Golden Dome Awards Program	Most State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$2 per Employee	Annual	100	\$ 41,275	113	\$ 37,624	Human Resources Revolving Fund	

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Department of Administrative Services

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Administrative Services	I/3	Service # 3999 - I/3 Administration	State of Iowa government entities, elected officials, and branches including departments, boards, and commissions that use the I/3 system.	Varies	Usage Based	124	\$ 2,843,367	127	\$ 3,092,133	I/3	
Administrative Services	Employee Assistance Program	Service # 4088 - Employee Assistance Plan Per Capita Option	Participating State of Iowa government entities including departments, boards, and commissions.	\$10 per Employee	Annual	29	\$ 52,470	25	\$ 42,870	Employee Assistance Program	FY 2009
Administrative Services	Facility and Support Revolving Fund	Service # 4095 - Architectural and Engineering Services Project Management	Participating State of Iowa government entities including departments, boards, and commissions with construction projects outside of the Capitol Complex. Similar service codes used for internal issues: 4195, 4212, 4441, and 3847.	\$83.84 per Hour	Monthly	35	\$ 2,090,190	9	\$ 1,175,374	Facility and Support Revolving Fund	
Administrative Services	IT Operations Revolving Fund	Service # 4171 - Information Security Office	Participating State of Iowa government entities, elected officials, and branches including departments, boards, and commissions.	\$1.2 per Each	Monthly	121	\$ 291,553	119	\$ 323,034	IT Operations Revolving Fund	FY 2011
Administrative Services	Facility and Support Revolving Fund	Service # 4451 - State Surplus	Participating departments and agencies on the capitol complex or within a 25 mile radius of the Capitol complex in Polk County only.	Varies	Usage Based	1	\$ 11,662	1	\$ 16,619	Facility and Support Revolving Fund	
Administrative Services	IT Operations Revolving Fund	Service # 4452 - Service-Oriented Architecture (SOA)	Executive Branch departments, boards, and commissions.	\$0.35580 per Authorization	Usage Based	106	\$ 79,986	109	\$ 85,230	IT Operations Revolving Fund	FY 2011
Administrative Services	IT Operations Revolving Fund	Service # 4453 - Authentication & Authorization (A&A) Utility	Participating State of Iowa government entities including departments, boards, and commissions.	\$0.43 per FTE	Monthly	173	\$ 104,403	113	\$ 115,403	IT Operations Revolving Fund	FY 2011
Total Revenue						2,792	\$ 44,508,693	2,768	\$ 45,211,427		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Department of Management

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Management	Operations	State Appeal Board General Claim Filing Fee	State Agencies	\$5	Per General Claim filed	30	\$ 1,600	31	\$ 1,795	General Fund	
Management	Operations	Requests for access to records	Requestor	\$15/hour plus \$0.20/page photocopy fee	Hourly fee plus photocopy fee above 25 pages	0	\$ -	0	\$ 0	NA	
Total Revenue							\$ 1,600		\$ 1,795		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Ethics and Campaign Disclosure

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Ethics and Campaign Disclosure		Copying fees	Copier	10 cents page	1	1	\$ 50	1	\$ 22	General Fund	
Ethics and Campaign Disclosure		Hearing costs	Violator	varies	3	3	\$ 275	0	\$ 0	General Fund	
Ethics and Campaign Disclosure		Civil penalty retention	Late Filers	\$2	152	152	\$ 304	157	\$ 418	General Fund	
Total Revenue						156	\$ 629	158	\$ 440		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Department of Revenue

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Revenue	General Fund	photocopies	taxpayer	\$0.2	per copy		\$ 89			General Fund	701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue	General Fund	duplication of administrative hearing tapes	taxpayer	\$7	per CD	5	\$ 35			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue	General Fund	copies of income tax returns	taxpayer	\$5	per tax return	?	\$ 4,355			General Fund	701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue	General Fund	court collection fee	court obligor	.090909 of court collections processed by IDR		?	\$ 2,211,745			General Fund	1997
Revenue	General Fund	Property Assessor Manual	requestor	\$27.35 plus any applicable sales tax and postage	per manual	5	\$ 207			General Fund	2009
Revenue	General Fund	ad-hoc listing of sales tax permits	requestor	\$20 plus applicable sales tax	1 - 3,000 records	9	\$ 191			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue				\$25 plus applicable sales tax	3,001 - 5,000 records	1	\$ 27			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue				\$30 plus applicable sales tax	5,001 - 7,000 records	0	\$ 0			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue				\$35 plus applicable sales tax	7,001 - 9,000 records	1	\$ 37			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue				\$40 plus applicable sales tax	9,001 - 11,000 records	0	\$ 0			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue				\$45 plus applicable sales tax	11,001 - 15000 records	0	\$ 0			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Revenue	General Fund	monthly sales tax permit file updates for 6 months	requestor	\$40 plus applicable sales tax		11	\$ 419			General Fund	7E.3 - 1986, 701 IAC 5.3 - 2004, 22.2 - 1998, 22.3 - 2006
Total Revenue							\$ 2,217,104		\$ 0		

Note: The information in this document is shown as submitted by the department or agency. The LSA has not reviewed this information for accuracy or completeness.

Utilities Board

Administration and Regulation Subcommittee

Department	Budget Unit or Fund Name and Number	Fee Description	Payor of Fee	Monthly Fee Amount	Frequency	Number of FY 2010 Payors	FY 2010 Total Revenue	Number of FY 2011 Payors	FY 2011 Total Revenue	Revenue Deposit Location (Fund)	Year Last Revised
Utilities Board		Cable Franchise - Initial fee	Cable Service Provider	\$100	Varies	11	\$ 1,100	11	\$ 1,100	Commerce Revolving Fund	
		Cable Franchise - Service Area revision fee	Cable Service Provider	\$50	Varies	11	\$ 550	16	\$ 800	Commerce Revolving Fund	
		Cable Franchise - Transfer fee	Cable Service Provider	\$50	Varies	0	\$ 0	1	\$ 50	Commerce Revolving Fund	
		Cable Franchise - Termination fee	Cable Service Provider	\$25	Varies	0	\$ 0	0	\$ 0	Commerce Revolving Fund	
		Certified Natural Gas Provider	Natural Gas Provider	\$125	Varies	1	\$ 125	6	\$ 750	Commerce Revolving Fund	
Total Revenue							\$ 1,775		\$ 2,700		

Note: New rules, effective 6-8-2011, eliminated the cable franchise fee and replaced it with an assessment.